

# Reinforcement learning in neuroscience - II

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# Dopamine response

Dopamine release after stimulation of the axon:

- ▶ rise in extracellular dopamine concentration of several nM in few ms,
- ▶ concentration becomes homogeneous on a sphere, max diffusion after 75 ms, over a diameter of 7-12  $\mu\text{m}$ , 80 nM,
- ▶ reuptake takes concentration to baseline values after a few 100 ms.

Dopamine activity after a reward predicting event:

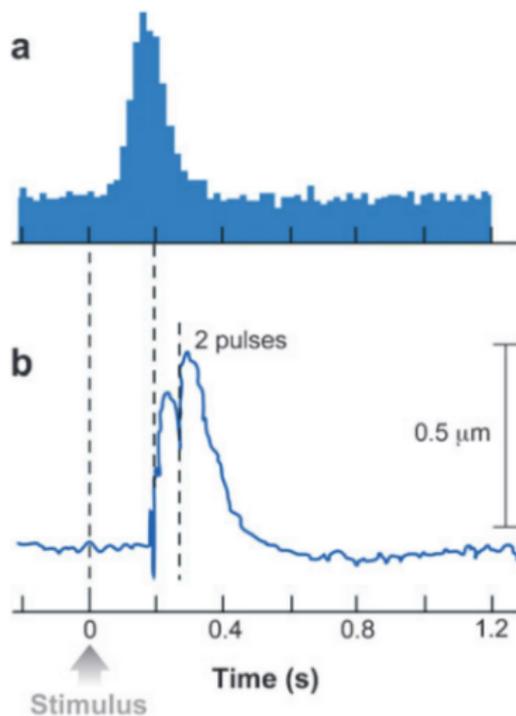


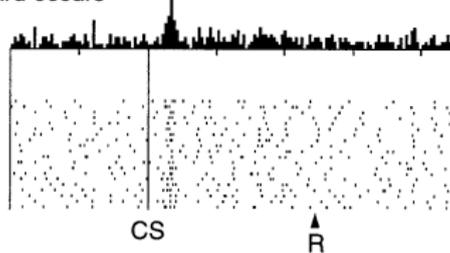
Figure from Schultz, 2007.

# Dopamine and prediction error

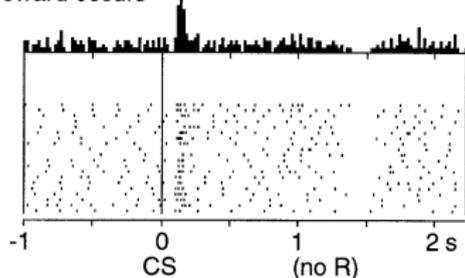
Phasic activity of dopamine neurons proposed as reflecting prediction error:

- ▶ series of experiments by Schultz and coworkers,
  - ▶ theoretical work by Montague, Dayan and coworkers.
- Before learning - reward unexpected
  - After learning - reward expected
  - After learning - no reward is unexpected

Reward predicted  
Reward occurs



Reward predicted  
No reward occurs



No prediction  
Reward occurs

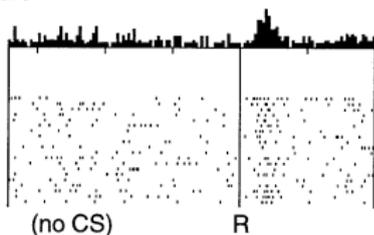


Figure adapted from Schultz 1998.

# Dopamine and prediction error - second order conditioning

- ▶ Dopamine neurons response transfers to earliest predictive stimulus.

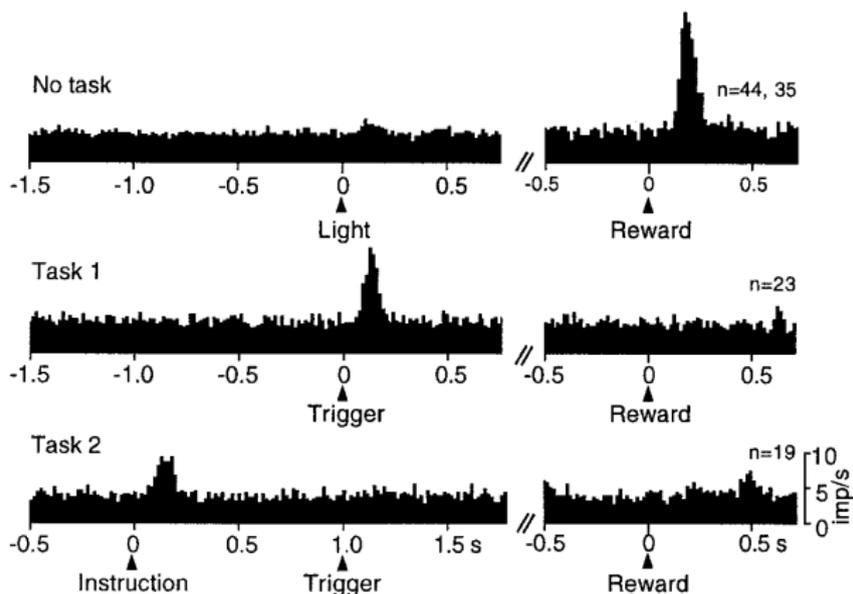


Figure adapted from Schultz 1998.

- ▶ Dopamine neurons activity reflects reward probability.

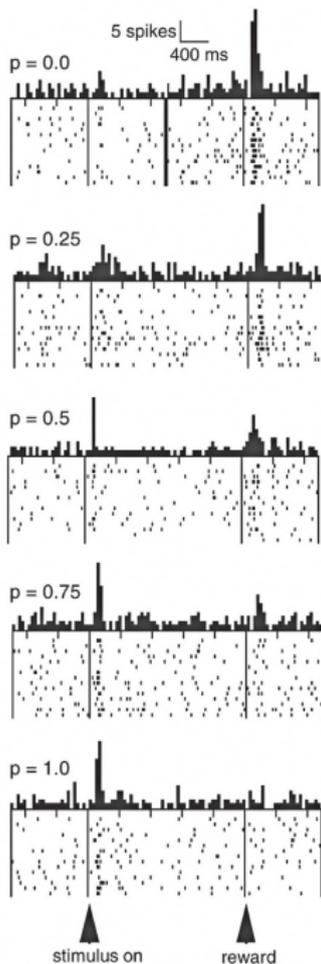
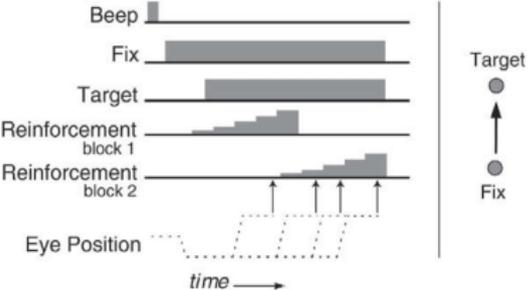


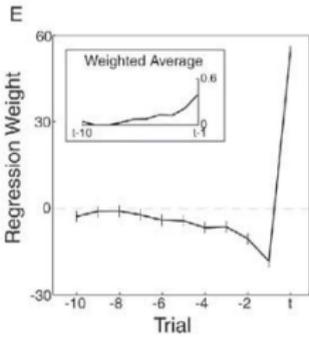
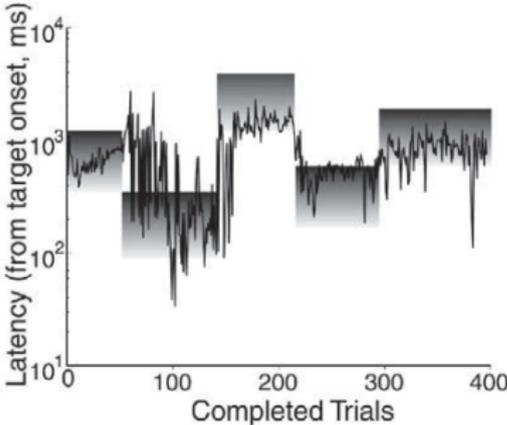
Figure adapted from Fiorillo et al 2003.

# Dopamine and prediction error - history of reward

- ▶ Dopamine neurons activity reflects history of previous rewards (for reward higher than expected).



$$y = \beta_0 r_t + \beta_1 r_{t-1} + \dots + \beta_{10} r_{t-10} + k$$



Figures adapted from Bayer and Glimcher 2005.

# Causal role of dopamine neurons activity in RL

## A causal link between prediction errors, dopamine neurons and learning

Elizabeth E Steinberg<sup>1,2,11</sup>, Ronald Keiflin<sup>1,11</sup>, Josiah R Boivin<sup>1,2</sup>, Ilana B Witten<sup>3,4</sup>, Karl Deisseroth<sup>5-8</sup> & Patricia H Janak<sup>1,2,9,10</sup>

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# Causal role of dopamine neurons activity in RL

## Blocking

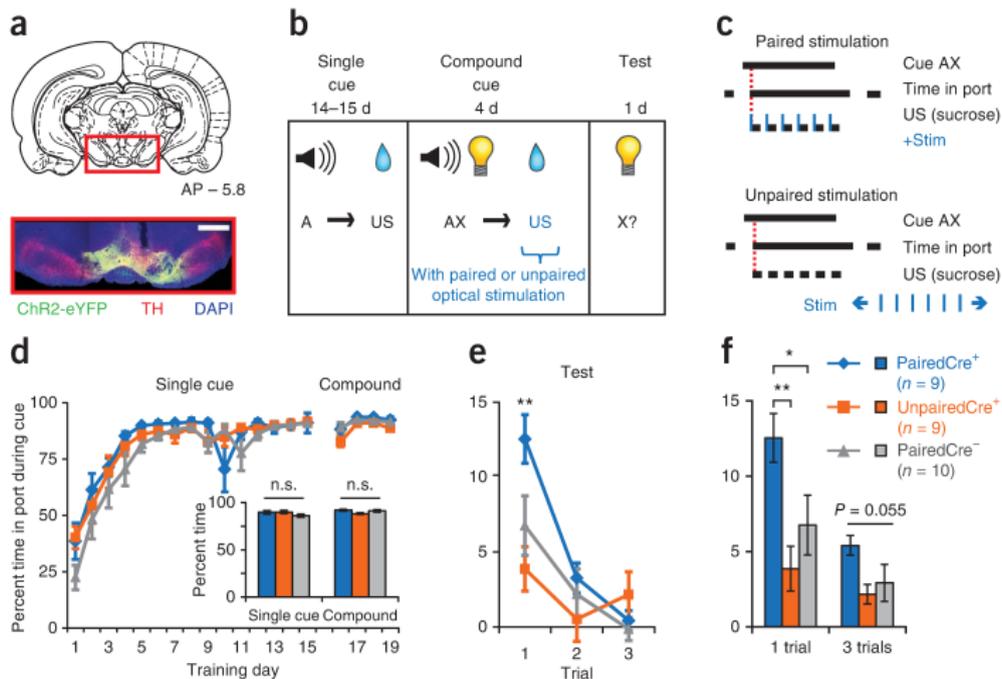


Figure from Steinberg et al. 2013.

# Causal role of dopamine neurons activity in RL

## Extinction

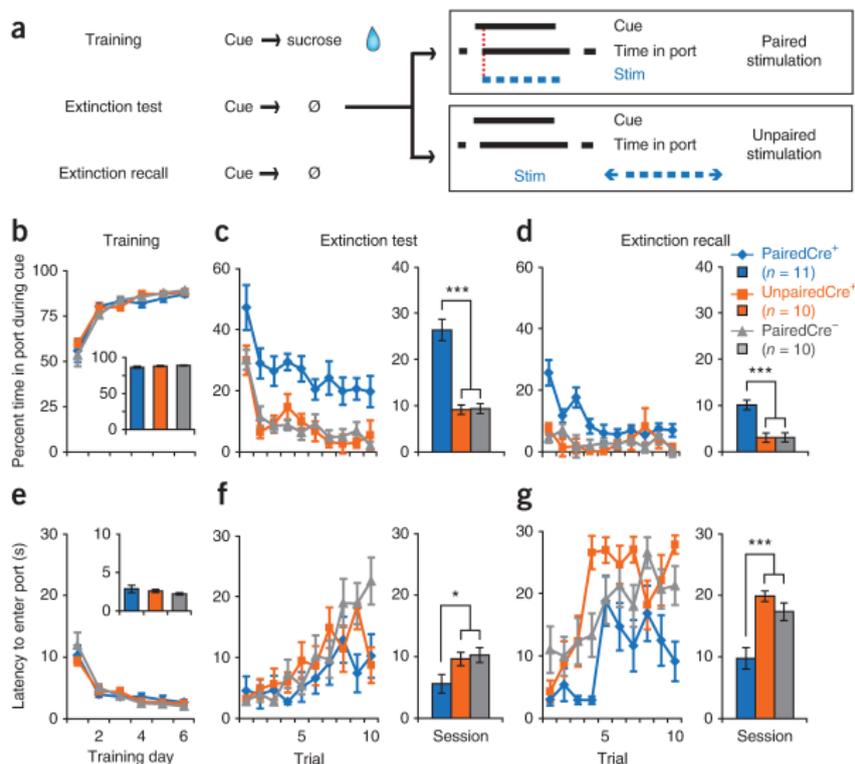


Figure from Steinberg et al. 2013.

# Evidence for action selection signals in the brain

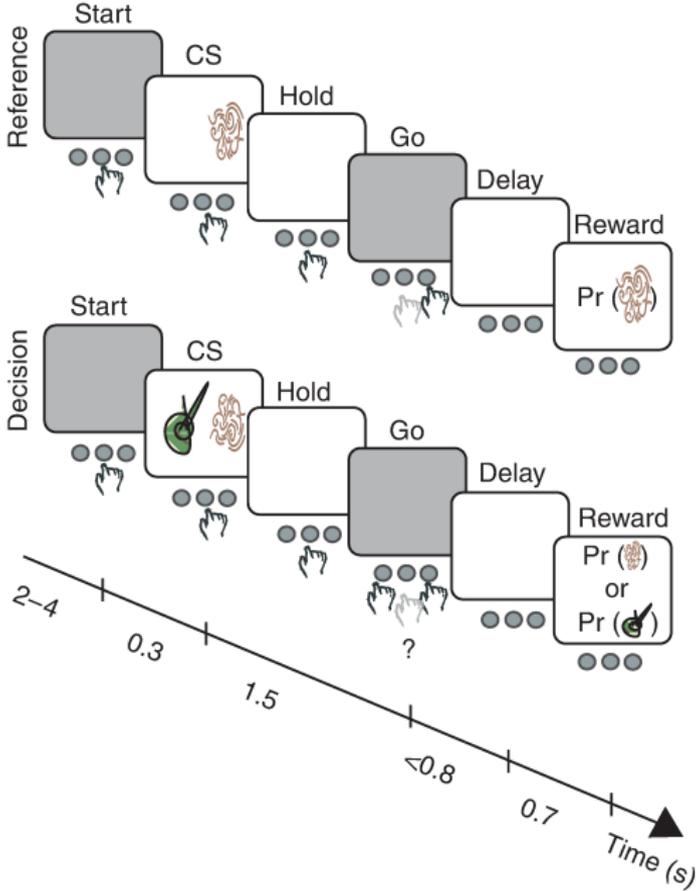
## Midbrain dopamine neurons encode decisions for future action

Genela Morris<sup>1,2</sup>, Alon Nevet<sup>2</sup>, David Arkadir<sup>2</sup>, Eilon Vaadia<sup>1,2</sup> & Hagai Bergman<sup>1,2</sup>

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# Evidence for action selection

Figure from Morris et al. 2006.



# Evidence for action selection

Probability of choosing one alternative in the decision trials as a function of quantities derived from the instructed trials:

- ▶ relative frequency of reward for that alternative,
- ▶ relative dopamine response.

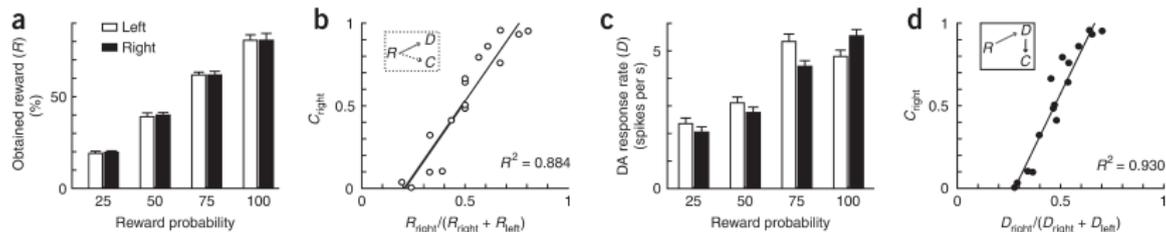


Figure from Morris et al. 2006.

Relative dopamine response predicts better the choice probabilities.

# Evidence for action selection

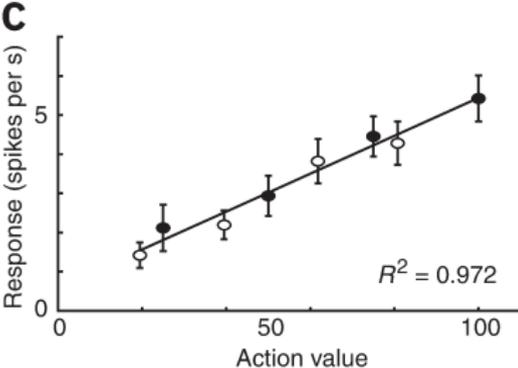
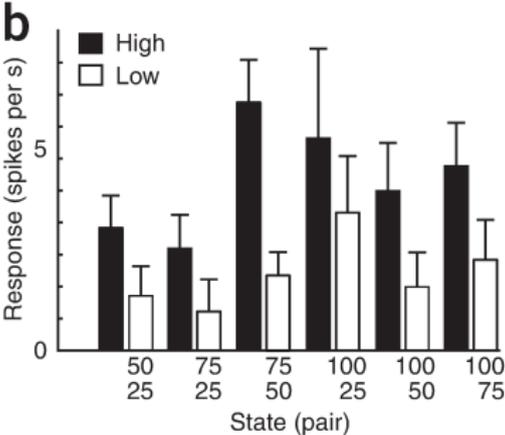
Dopamine responses in decision trials separated according to chosen action.

Dopamine neurons encode values of future actions.

Filled circles: Decision action values.

Open circles: Reference action values.

Figure from Morris et al. 2006.



## Dissociable Roles of Ventral and Dorsal Striatum in Instrumental Conditioning

**John O'Doherty,<sup>1\*</sup> Peter Dayan,<sup>2</sup> Johannes Schultz,<sup>1</sup>  
Ralf Deichmann,<sup>1</sup> Karl Friston,<sup>1</sup> Raymond J. Dolan<sup>1</sup>**

16 APRIL 2004 VOL 304 SCIENCE [www.sciencemag.org](http://www.sciencemag.org)

# Actor/critic method

The critic evaluates using TD error:

$$\delta_t = r_{t+1} + \eta V(s_{t+1}) - V(s_t)$$

Given  $a_t, s_t$ :

- ▶  $\delta_t > 0 \rightarrow$  increase probability of selecting  $a$
- ▶  $\delta_t < 0 \rightarrow$  decrease probability of selecting  $a$

For example:

$$p(s_t, a_t) \leftarrow p(s_t, a_t) + \beta \delta_t$$

for a policy  $\pi(s, a) = p(a|s)$

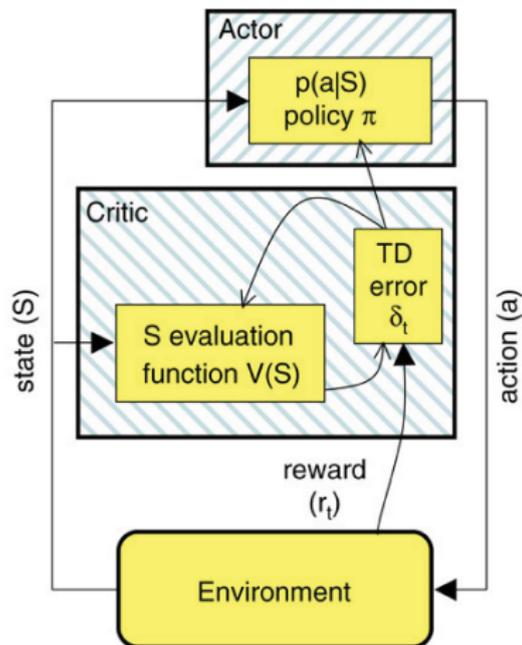


Figure from Niv, 2009.

# Evidence for Actor/Critic

fMRI experiment in Humans.

Conditions:

- ▶ Instrumental task - probabilistic (30%, 60%)
  - ▶ reward
  - ▶ neutral
- ▶ Pavlovian task - probabilistic (30%, 60%)
  - ▶ reward
  - ▶ neutral

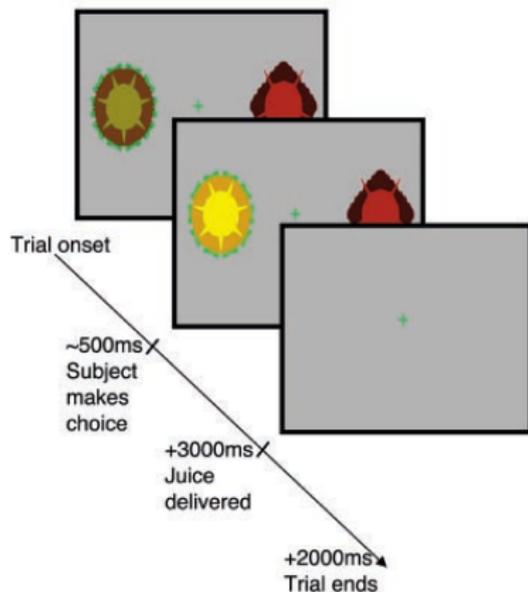


Figure from O'Doherty et al. 2004.

# BOLD fMRI to study reinforcement learning

- ▶ Data analysis idea: look for signals that correlate with the predicted bold response.

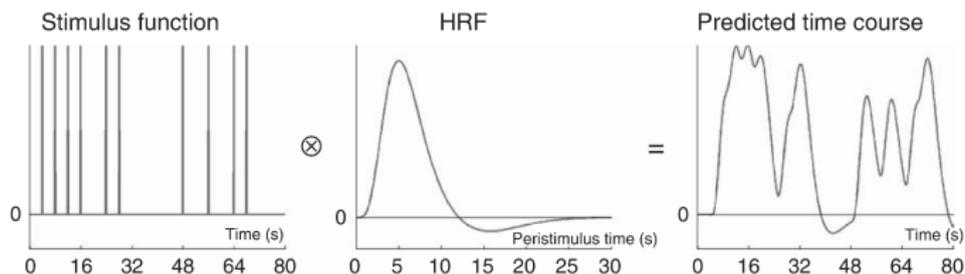
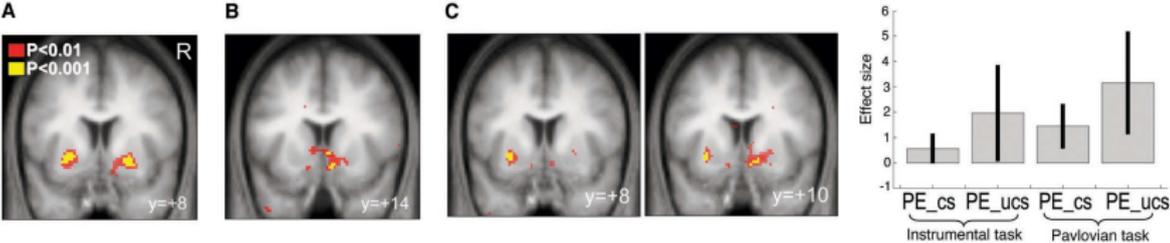


Figure adapted from *Statistical Parametric Mapping* Friston et al. 2007

- For reinforcement learning studies
  - ▶ Estimate model parameters to fit the behavior.
  - ▶ Derive time changing variables describing quantities as: value of actions, prediction error.
  - ▶ Find if/where are signals that correlate with such variables.

# Evidence for Actor/Critic

## Critic - ventral striatum



## Actor - dorsal striatum

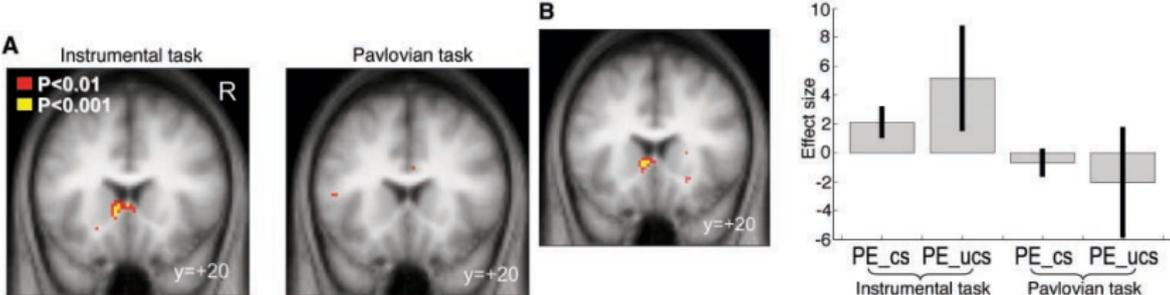


Figure from O'Doherty et al. 2004.

## Other topics

- ▶ Predictive versus motivational role of dopamine
- ▶ Habitual versus goal-directed behaviour
- ▶ Model-free learning versus model-based learning
- ▶ Disorders: compulsive behaviors, ADHD, schizophrenia
- ▶ Substance abuse
- ▶ Changes over life-span: impulsivity during adolescence
- ▶ Tonic dopamine: response vigor, latency and rate
- ▶ ...

# References

- ▶ H. Bayer and P. Glimcher, Midbrain dopamine neurons encode a quantitative reward prediction error signal, *Neuron*, 2005.
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# Dopamine and synaptic plasticity

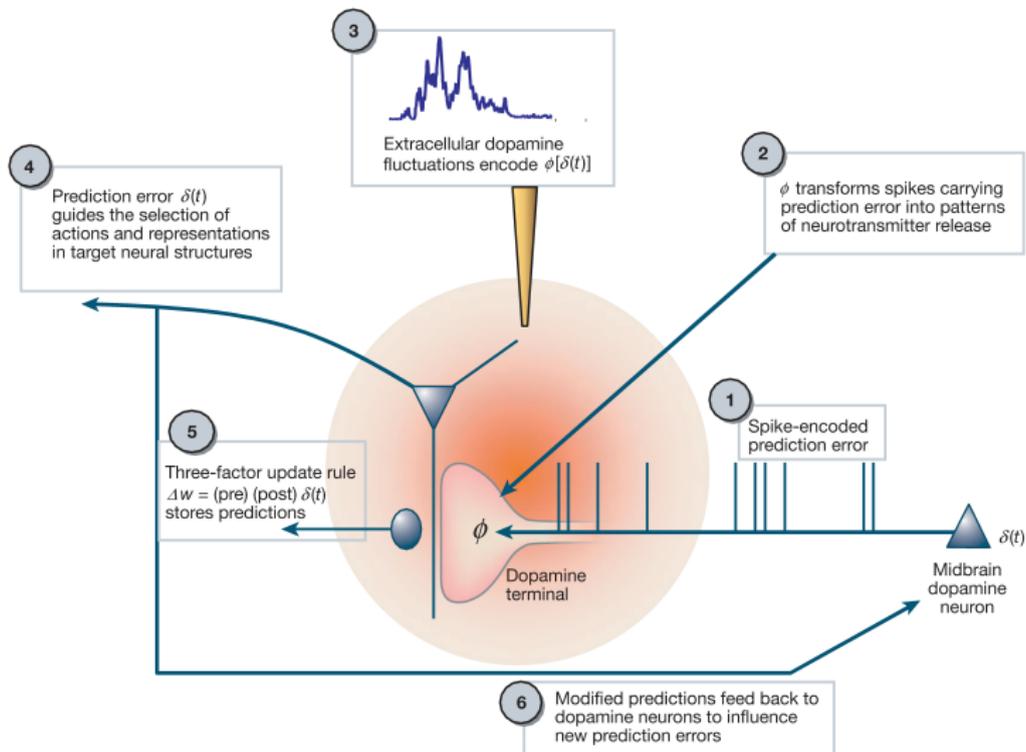


Figure from Montague et al. 2004.